**Notification letter for pre-marketing towards potential professional investors in the European Union**

This notification letter is used for the purpose of notifying pre-marketing arrangements:

* by Danish authorized alternative investment fund managers (“AIFMs”) pursuant to Article 30a of the Directive 2011/61/EU on Alternative Investment Fund Managers (“AIFMD”) as implemented in section 88 a in the Danish consolidated act on alternative investment fund managers etc. (“AIFM Law”)
* by Danish managers qualifying as venture capital funds (“EuVECA managers”) pursuant to Article 4a of the Regulation (EU) No 345/2013 on European venture capital funds (“EuVECA Regulation”)
* by Danish managers of qualifying entrepreneurship funds (“EuSEF managers”) pursuant to Article 4a of Regulation (EU) no 346/2013 on European social entrepreneurship funds (“EuSEF Regulation”).

**Under which of the following conditions has or will the manager pre-market towards professional investors:**

Article 30a of the AIFMD (Section 88 a of the AIFM Law)

Article 4a of the EuSEF Regulation

Article 4a in the EuVECA Regulation

**Information on the AIFM/EuVECA manager/EuSEF manager**

Name:

Address:

Registration number in Finanstilsynet:

**Contact person of the AIFM/EuVECA manager/EuSEF manager**

Contact person:

Position:

Telephone number:

E-mail address:

**Information of third party engaging in pre-marketing on behalf of the AIFM/EuSEF manager/EuVECA manager (if applicable)**

Name:

Address:

**Contact person of the third party**

Contact person:

Position:

Telephone number:

E-mail address:

**What is the third party authorized as?**

An investment firm in accordance with Directive 2014/65/EU

A credit institution in accordance with Directive 2013/36/EU

An undertaking for collective investments in transferable securities in accordance with directive 2009/65/EC

An authorized AIFM in accordance with Directive 2011/61/EU.

A tied agent in accordance with Directive 2014/65/EU

**Member States, pre-marketing period, AIF’s and compartments of the AIF’s**

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| --- | --- | --- |
| Member States in which the pre-marketing is taking or has taken place | Pre-marketing period | List of AIF’s and compartments of AIF’s which are or were the subject of pre-marketing (*where relevant*). |
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**Brief description of the pre-marketing including information on the investment strategies presented**

**The signer of this document confirms the following:**

1. the information provided in this notification letter contains all the relevant information as required by the applicable legislation
2. the information in this form is accurate and complete
3. the signer is authorized to sign this letter on behalf of the AIFM/EuVECA manager/EuSEF manager.

Signature: Date:

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