

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created:
2010-06-16 10:22:00 TRS
Latest change:
2011-06-29 15:16:00 hein

Author:
TRS
Latest changed by:
hein



Doc version:
1.2

Approved by:

Error codes and error reason from TRS

1 Summary

This document contains the error codes and error reason from TRS.

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created:
2010-06-16 10:22:00 TRS
Latest change:
2011-06-29 15:16:00 hein

Author:
TRS
Latest changed by:
hein



Doc version:
1.2

Approved by:

2 (10)

Revision history

Version	Date	Remarks
1.0	2010-06-30	Initial document
1.1	2010-08-17	Added IEXD_TD
1.2	2011-02-11	Updates TRS 3.3.

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created: **2010-06-16 10:22:00** TRS
Latest change: **2011-06-29 15:16:00** hein

Author:
Latest changed by:



Doc version:
1.2

Approved by:

3 (10)

Table of content

1	SUMMARY.....	1
2	INTRODUCTION	4
3	GENERAL ERROR CODES FOR REPORTED FILES (FILE LEVEL).....	4
3.1	ERROR CODES	4
4	ERROR REASON CODES FROM TRANSACTIONS FILES	5
4.1	ERROR REASON CODES.....	5
5	ERROR REASON CODES FROM INSTRUMENT FILES.....	6
5.1	ERROR REASON CODES.....	6
6	ERROR REASON CODES FROM RECONCILIATIONS FILES.....	8
6.1	ERROR REASON CODES.....	8
7	ERROR REASON CODES FROM CLIENTS FILES	9
7.1	ERROR REASON CODES.....	9
8	ERROR REASON CODES FROM TRANSACTIONS FILES (OTC).....	9
8.1	ERROR REASON CODES.....	9
8.2	CHANGED ERROR REASON CODES	9
8.3	NEW ERROR REASON CODES	9



2 Introduction

This document lists the error codes and error reason codes that TRS delivers. This document does not describe how they are related or the structure of the feedback files.

3 General Error codes for reported files (file level)

3.1 Error codes

These apply for all file types if nothing else is said in the comment column.

Code	Abbreviated from	Comment
DCRE	DeCRyptionError	Unable to decrypt the sent file.
DVE	DataValidationError	Error specified by a code for the record with error.
FEE	FileExtensionError	Available extensions are .xml .zip .enc
GSE	GeneralSystemError	The system failed to process the request. Please try to send the file again. If the error remains, please contact your competent authority.
IDCI	InternalDuplicateClientIdentifier	The reported file contains one or more clients with identical identifiers. (Applies only for clients files.)
IDTI	InternalDuplicateTransaction Identifier	The reported file contains one or more transaction identifier duplicates. (Applies only for transactions and reconciliations files.)
IFNF	InvalidFileNameFormat	The format must be [TR IN RC CC OT]_[BIC]_YYYYMMDD_SEQ.[XML ZIP ENC], where represents the prefix of the type of file to be uploaded.
IRF	InvalidReportingFirm	One or more Reporting Firms are invalid. (Applies for transactions, clients and instruments files.)
IRV	InvalidReportingVenue	One or more reporting venues are invalid. (Applies only for reconciliations files.)
ITF	InvalidTechnicalreportingFirm	Technical Reporting Firm identification is not valid.
IXF	InvalidXmlFormat	The sent file does not meet the requirements of given schema.
SNF	SchemaNotFound	Schema not found. Failed to find

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created:
2010-06-16 10:22:00 TRS

Author:

Latest change:
2011-06-29 15:16:00 hein

Latest changed by:



Doc version:
1.2

Approved by:

5 (10)

		schema definition.
SNV	SchemaNotValid	Schema not valid. The given schema is not valid. Please contact your competent authority for details.
SVE	SignerVerificationError	The reported file has an invalid signer.
UFAS	UploadedFileAlreadySubmitted	Uploaded file already submitted.
UFSE	UploadedFileSizeError	Uploaded file size error. (Applies only for transactions files from the web application.)
XPE	XmlParseError	The sent file is not a correct xml document.
ZDCOE	ZipDecompressionError	Zip decompression error. Unable to decompress the sent file.

4 Error reason codes from Transactions files

4.1 Error reason codes

Reason	Abbreviated from	Comment
AIIDT	AlternativeInstrumentIdentification DerivativeType	Derivative Type must be [O] or [F] for AII.
AIIMD	AlternativeInstrumentIdentification MaturityDate	The AII Maturity Date must be a valid datetime.
AIIPC	AlternativeInstrumentIdentification PutCallIndicator	PutCallIndicator must be [P], [C] or [F] for AII.
AIISP	AlternativeInstrumentIdentification StrikePrice	The AII Strike Price is invalid.
AIIXC	AlternativeInstrumentIdentification ExchangeCode	ExchangeCode does not exist or is invalid.
AIIXPC	AlternativeInstrument Identification ExchangeProductCode	ExchangeProductCode must be 1-12 characters.
CIM	ClientInformationMissing	ClientCodeType and ClientCode are mandatory when TradingCapacity is [A].
DTI	DuplicateTransactionIdentifier	The transaction has already been submitted to the database.
IISIN	InvalidISIN	The ISINCode is of bad format.
ITD	InvalidTradingDate	The Trading Date must be less than three months and not in the future.
MTI	MissingTransactionIndicator	The transaction has no corresponding match in the database or the referring transaction is invalid

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created: **2010-06-16 10:22:00 TRS**
Author:
Latest change: **2011-06-29 15:16:00 hein**
Latest changed by:



Doc version:
1.2

Approved by:

6 (10)

		in the reported file.
RTAC	ReferringTransactionAlreadyCancelled	The referred transaction is already cancelled in the database.
IPNIT	InvalidPriceNotation InstrumentType	The Currency Code is invalid for instrument type (CFI code).
IRFTD	InvalidReportingFirmTradingDate	Reporting Firm is invalid for trading date of transaction.
VII	VenueInconsistentInstrument	The Trading Venue is not consistent with the instrument codifications.
IEXD_TD	Invalid Expiry Date in relation to Trading Date	The expiration date (of the instrument) should be equal or later than the trading day (of the transaction).
ICC	InvalidCustomer/Client	The Customer/Client information is not valid (at trading date of the transaction).
ICPC	InvalidCounterPartyCode	The given Counterparty Code is not valid (at trading date of the transaction).
IPN	InvalidPriceNotation	The Currency Code does is invalid (at trading date of the transaction).
IVI	InvalidVenueIdentification	The Trading Venue identification is not valid (at trading date of the transaction).

5 Error reason codes from Instrument files

5.1 Error reason codes

Reason	Abbreviated from	Comment
AIIDT	AlternativeInstrument IdentificationDerivativeType	DerivativeType must be [O] or [F] for AII.
AIIMD	AlternativeInstrument IdentificationMaturityDate	The AII MaturityDate must be a valid datetime.
AIIPC	AlternativeInstrument IdentificationPutCallIndicator	PutCallIndicator must be [P], [C] or [F] for AII.
AIISP	AlternativeInstrument IdentificationStrikePrice	The AII StrikePrice is invalid.
AIIXC	AlternativeInstrument IdentificationExchangeCode	ExchangeCode does not exist or is invalid.
AIIXPC	AlternativeInstrument Identification	ExchangeProductCode must be 1-12

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created:
2010-06-16 10:22:00 TRS

Author:

Latest change:
2011-06-29 15:16:00 hein

Latest changed by:



Doc version:
1.2

Approved by:

7 (10)

	ExchangeProductCode	characters.
ICOI	InvalidCountryOfIndex	The Country of Index is invalid or missing.
IDII	InternalDuplicateInstrument Identifier	The record is a duplicate record.
IDOA	InvalidDateOfAdmittance	The Date Of Admittance is missing or not a valid date.
IDOA_MD	InvalidDateOfAdmittance_Maturity Date	The Date Of Admittance can't be later than the Maturity Date.
IIC	InvalidIssuerCountry	The Issuer Country must be a valid 2 characters ISO 3166 Country Code.
III	InvalidIssuerIdentification	The Issuer Identification is not a valid BIC code.
IISIN	InvalidISINCode	The ISINCode is of bad format
IIT	InvalidInstrumentType	The Instrument Type is invalid.
IMA	InvalidMarketofAdmittance	The Market Of Admittanceis invalid, (have to be a valid MIC and EES Country).
IMD	InvalidMaturityDate	The MaturityDate is missing or not a valid date.
INVCC	InvalidNominalValue CurrencyCode	Nominal Value Currency Code is not valid.
IRO	Invalid Registered Office Country	Invalid or missing Registered Office Country.
ISPCC	InvalidStrikePriceCurrencyCode	The Strike Price Currency Code is not valid.
IUISIN	Invalid Underlying ISIN	The ISIN code of the Underlying Instrument is invalid.
IVED	InvalidValidityEndDate	The Validity End Date is invalid.
IVSD	InvalidValidityStartDate	The Validity Start Date is invalid.
IVSD_VED	InvalidValidityStartDate_ValityEndDate	The Validity Start Date can't be later than the Validity End Date.
IDOA_MD	InvalidDateOfAdmittanceMaturityDate	The Date Of Admittance can't be later than the Maturity Date.
IIDA	InstrumentIdentification Dateof Admittance	The Date Of Admittance is not consistent with the instrument identification.
ITAI	InstrumentTypeAll	The Instrument Type (CFI code) is incorrect, must be [O] or [F] for All.
ITCOI	InstrumentTypeCountryOfIndex	This Instrument Type (CFI code) could not have an Index Country.
ITMD	InstrumentTypeMaturityDate	This Instrument Type (CFI code) could not have a Maturity Date.
ITMSP	InstrumentTypeMissingStrikePrice	Strike Price is missing. (Mandatory for this Instrument Type.)

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created: 2010-06-16 10:22:00 TRS
Author:
Latest change: 2011-06-29 15:16:00 hein
Latest changed by:



Doc version:
1.2

Approved by:

8 (10)

ITPM	InstrumentTypePriceMultiplier	This Instrument Type (CFI code) can not have a Price Multiplier.
ITRO	InstrumentTypeRegisteredOffice	This Instrument Type (CFI code) can not have a Registered Office Country.
ITSP	InstrumentTypeStrikePrice	This Instrument Type (CFI code) can not have a Strike Price.
ITUISIN	InstrumentTypeUnderlyingISIN	This Instrument Type (CFI code) can not have an underlying ISIN.
MAII	MarketofAdmittance InconsistentInstrument	The Market Of Admittance is not consistent with the instrument codifications.
SPAIISP	StrikePriceAllStrikePrice	The Strike Price is incorrect.
SPCC	StrikePriceCurrencyCode	The Strike Price Currency Code is missing.
ICPC	InvalidCounterPartyCode	The given Counterparty Code format is not valid

6 Error reason codes from Reconciliations files

6.1 Error reason codes

Reason	Abbreviated from	Comment
DTI	DuplicateTransactionIdentifier	The transaction has already been submitted to the database.
IISIN	InvalidISIN	The ISINCode is of bad format.
ITD	InvalidTradingDate	The Trading Date must be less than three months and not in the future.
MTI	MissingTransactionIndicator	The transaction has no corresponding match in the database or the referring transaction is invalid in the reported file.
RTAC	ReferringTransactionAlready Cancelled	The referring transaction is already cancelled in the database.
IPNIT	InvalidPriceNotationInstrumentType	The Currency Code is invalid for instrument type.
IRVTD	InvalidReportingVenueTradingDate	Reporting Venue is invalid for trading date of transaction.
VII	VenueInconsistentInstrument	The Trading Venue is not consistent with the instrument codifications.
ICPC	InvalidCounterPartyCode	The given Counterparty Code is not

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created:
2010-06-16 10:22:00 TRS
Latest change:
2011-06-29 15:16:00 hein

Author:
TRS
Latest changed by:
hein



Doc version:
1.2

Approved by:

9 (10)

		valid (at trading date of the transaction).
IPN	InvalidPriceNotation	The Currency Code is invalid (at trading date of the transaction).

7 Error reason codes from Clients files

7.1 Error reason codes

Reason	Abbreviated from	Comment
ICI	InvalidClientIdentifier	Client with this identifier does not exist for this reporting firm.

8 Error reason codes from Transactions files (OTC)

8.1 Error reason codes

Reason	Abbreviated from	Comment
--------	------------------	---------

8.2 Changed error reason codes

Reason	Abbreviated from	Comment
--------	------------------	---------

8.3 New error reason codes

Reason	Abbreviated from	Comment
CIM	ClientInformationMissing	ClientCodeType and ClientCode are mandatory when TradingCapacity is [A].
DTI	DuplicateTransactionIdentifier	The transaction has already been submitted to the database.
ICC	InvalidCustomer/Client	The Customer/Client information is not valid (at trading date of the transaction).
ICPC	InvalidCounterPartyCode	The given Counterparty Code is not valid (at trading date of the transaction).
IEXD_TD	Invalid Expiry Date in relation to Trading Date	The expiration date (of the instrument) should be equal or later

Titel:
**Error codes and
error reason codes from TRS**
Doc type:

Created: **2010-06-16 10:22:00** TRS
Latest change: **2011-06-29 15:16:00** hein

Author:
TRS
Latest changed by:



Doc version:
1.2

Approved by:

10 (10)

		than the trading day (of the transaction).
IISIN	InvalidISIN	The ISINCode is of bad format.
IPN	InvalidPriceNotation	The Currency Code does is invalid (at trading date of the transaction).
IRFTD	InvalidReportingFirmTradingDate	Reporting Firm is invalid for trading date of transaction.
ITD	InvalidTradingDate	The Trading Date must be less than three months and not in the future.
MTI	MissingTransactionIndicator	The transaction has no corresponding match in the database or the referring transaction is invalid in the reported file.
RTAC	ReferringTransactionAlreadyCancelled	The referred transaction is already cancelled in the database.
IUUISIN	InvalidUltimateUnderlyingISIN	The Ultimate Underlying ISINCode is of bad format.
IPMV	InvalidPriceMultiplierValue	The price multiplier should be a positive value.
ISPV	InvalidStrikePriceValue	When populated the strike price should be a positive value.